

3635



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/896,870	06/28/2001	3635	523	39475-0008	4	27	4

CONFIRMATION NO. 1024

## CORRECTED FILING RECEIPT



\*OC00000008110748\*

Mitchell P. Brook  
Baker & McKenzie  
Twelfth Floor  
101 Broadway  
San Diego, CA 92101

Date Mailed: 05/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Victor Tzar Kuoi Li, Central HK, CHINA;

RECEIVED

SEP 3 0 2002

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/296,893 06/08/2001

GROUP 3600

## Foreign Applications

If Required, Foreign Filing License Granted 08/23/2001

Projected Publication Date: 12/12/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

System and method for providing high-speed communications over an electrical network

## Preliminary Class

052

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**BAKER & MCKENZIE**

2300 Trammell Crow Center  
2001 Ross Avenue  
Dallas, Texas 75201

Telephone: (214) 978-3000  
Facsimile: (214) 978-3099

**RECEIVED**  
MAY 20 2002  
GROUP 3600

FROM: Brian C. McCormack, Reg. No. 36,601  
PHONE: 214-978-3007  
DATE: May 9, 2002  
USER #: 1309  
CLIENT/MATTER #: 39475-0008

PLEASE DELIVER AS SOON AS POSSIBLE TO:

	RECIPIENT	COMPANY	FAX No.	PHONE No.
1.	Examiner Kenneth Dorner	**FOR EXAMINER DORNER ONLY**	703/306-4597	703/308-0866

Total number of pages including this page: 3.

**PRIVACY NOTICE:** This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential or exempt from disclosure under applicable Federal or State law. If the reader of this message is not the intended recipient or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited.

If you have received this communication in error, please notify us immediately by telephone and return the original message to us at the above address via regular U.S. Mail.

MESSAGE: \*\* PLEASE ROUTE TO EXAMINER KENNETH DORNER ONLY \*\*

Dear Examiner Dorner,

Please find attached a copy of our facsimile filing of April 16, 2002. Thank you for your attention to this matter. The subject of our request (and the form of it) is the same as we recently filed in a related case, working with Examiner Krista Zele - 09/894,020. We just recently received a corrected filing receipt which is to allow the application to go to publication, and we are seeking the same result here.

Sincerely,

Brian McCormack

**RECEIVED**  
SEP 30 2002  
GROUP 3600

If you do not receive all the pages, please call (214) 978-3095

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Li

Serial No. 09/896,870

Filed: June 28, 2001

For: System and Method for Providing High-Speed Communications over  
An Electrical Network

Group Art No. 3635

Special Projects Examiner: Dorner

Att'y Docket No. 39475-0008

DOCKETED

RECEIVED  
MAY 29 2002  
GROUP 3600

## RECEIVED STATEMENT REGARDING NON-PUBLICATION REQUEST

SEP 3 0 2002

GROUP 3600

Attn: Special Projects Examiner Kenneth  
Dorner  
Group 3600  
United States Patent & Trademark Office  
Washington DC 20231

## CERTIFICATE OF TRANSMISSION

I, Christi Pingleton, hereby certify that this correspondence is being facsimile transmitted to the United States Postal Service on the date below to Special Projects Examiner Kenneth Dorner, Group 3600, at facsimile telephone number 703/ 306-4597 / (phone # 703/308-0866).

April 16, 2002  
DATE  
Christi Pingleton  
SIGNATURE

Dear Examiner Dorner:

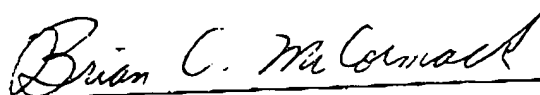
Applicant respectfully submits this Statement Regarding Non-Publication Request. Applicant appreciates the guidance and assistance provided by you and by Customer Service Representative Gwen Myers.

Our original inquiry arose during our recent review of our file in above matter. From our review, we became aware that the transmittal letter and certificate of mailing submitted with the original patent application filing indicated that a Request of Non-Publication had been submitted in the matter. Apparently based on these designations on the transmittal and on the certificate of mailing, a Filing Receipt was issued purporting to acknowledge the receipt of a Request for Non-Publication. A careful review of the file, however, revealed that (a) a related PCT application to the present application was filed on June 21, 2001 (just one

week before the filing of the present application), and therefore a Non-Publication Request would not be proper in the present application, and (b) the file copies of the application papers filed with the USPTO did not include any Request of Non-Publication, despite the transmittal's and certificate of mailing's reference to same. Teleconferences with Customer Service Representative Gwen Myers also indicated that no Request of Non-Publication was ever filed in the case.

Recognizing that Applicant has never actually filed a Request of Non-Publication, and that it would unnecessary to petition to rescind a Non-Publication Request that had never been made, Applicant therefore submits this statement. By the signature of Applicant's attorney below, Applicant confirms that on information and belief the references to a Non-Publication Request in the transmittal and on the certificate of mailing were in error, and that Applicant does not desire to request non-publication of the present application. Applicant therefore respectfully requests that a corrected filing receipt be issued (not showing "Request for Non-Publication Acknowledged") and that this application be published as soon as possible in accordance with the applicable USPTO procedures.

Respectfully submitted,



Brian C. McCormack  
Registration No. 36,601

April 15, 2002  
BAKER & MCKENZIE  
2001 Ross Avenue, Suite 2300  
Dallas, TX 75201  
(214) 978-3007 (telephone)/ (214) 978-3099 (facsimile)